

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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In re DEUTSCHE BANK AG SECURITIES	: Master File No. 1:09-cv-01714-GHW-RWL
LITIGATION	: : : <u>CLASS ACTION</u>
_____	: :
This Document Relates To:	: NOTICE OF CLASS PLAINTIFFS’
	: MOTION FOR FINAL APPROVAL OF
ALL ACTIONS.	: SETTLEMENT AND APPROVAL OF PLAN
_____ X	: OF ALLOCATION AND FOR AN AWARD
	: OF ATTORNEYS’ FEES AND EXPENSES
	: AND AN AWARD TO CLASS PLAINTIFFS
	: PURSUANT TO 15 U.S.C. §77z-1(a)(4)

TO: ALL PARTIES AND THEIR ATTORNEYS OF RECORD

PLEASE TAKE NOTICE that upon: (i) the Declarations of Eric I. Niehaus; (ii) the Joint Declaration of Class Representatives Norbert G. Kaess and Maria Farruggio; (iii) the Stipulation of Settlement dated November 11, 2019 and the Exhibits annexed thereto; (iv) the Declaration of Ross D. Murray; (v) the Declarations of Brian P. Murray; (vi) the Declaration of Alfred G. Yates, Jr.; (vii) the Memorandum of Law in Support of Class Plaintiffs’ Motion for Final Approval of Settlement and Approval of Plan of Allocation and for an Award of Attorneys’ Fees and Expenses and an Award to Class Plaintiffs Pursuant to 15 U.S.C. §77z-1(a)(4); and (viii) all other proceedings herein, Class Plaintiffs Norbert G. Kaess and Maria Farruggio, through counsel, will move this Court, before the Honorable Gregory H. Woods, United States District Judge for the Southern District of New York, on June 11, 2020, at 4:30 p.m., for entry of orders and judgment pursuant to Rule 23 of the Federal Rules of Civil Procedure: (1) finally approving the Settlement of the above-captioned litigation; (2) approving the Plan of Allocation; (3) awarding Lead Counsel expenses in

the litigation; and (4) awarding Class Plaintiffs compensation for their time and service representing the Class.

Proposed orders will be submitted with Class Plaintiffs' reply submission on or before June 4, 2020.

DATED: May 7, 2020

Respectfully submitted,

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s/Eric I. Niehaus  
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CERTIFICATE OF SERVICE

I, Eric I. Niehaus, hereby certify that on May 7, 2020, I authorized a true and correct copy of the foregoing document to be electronically filed with the Clerk of the Court using the CM/ECF system, which will send notification of such public filing to all counsel registered to receive such notice.

s/ ERIC I. NIEHAUS

ERIC I. NIEHAUS

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